

08/28/01
10540.5

A

**UTILITY
PATENT APPLICATION
TRANSMITTAL**Attorney Docket No. **01-193**First Inventor or Application Identifier **OGUSU et al.**Title **DRIVING METHOD FOR LUMINOUS ELEMENTS**

Express Mail Label No. _____

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Specification [Total Pages **22**]
 -Descriptive title of the Invention
 -Cross Reference to Related Applications
 -Background of the Invention
 -Summary of the Invention
 -Brief Description of the Drawings
 -Detailed Description of the Preferred Embodiment
 -Claims
 -Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. 113) [Total Sheets **15**]
 4. Oath or Declaration [Total Sheets **4**]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 C.F.R. § 1.63 (d))
 (for continuation/divisional with Box 16 completed)
 i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting
 inventor(s) named in the prior application,
 see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

***NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)**

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231JC997 U.S. PTO
09/93975

08/28/01

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s)) ✓
 8. 37 C.F.R. § 3.73(b)
 Statement (when there is an assignee) Power of Attorney
 9. English Translation Document (if applicable)
 10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
 11. Preliminary Amendment
 12. Return Receipt Postcard (MPEP 503)
 (should be specifically itemized)
 *Small Entity Statement(s) Statement filed in prior application,
 (PTO/SB/09-12) Status still proper and desired
 13. Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
 14. Other:
 15. Other:

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**17. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label  or Correspondence address below
 (Insert Customer No. or attach Bar code label here)

23400

Name	PATENT TRADEMARK OFFICE		
Address			
City	State	Zip Code	
Country	Telephone	(202) 416-1638	Fax (202) 416-1639

Name (Print/type)	DAVID G. POSZ	Registration No. (Attorney/Agent)	37,701
Signature			Date 8/18/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 750)

Complete if Known

Application Number	
Filing Date	August 28, 2001
First Named Inventor	OGUSU et al.
Examiner Name	
Group/Art Unit	

Attorney Docket No. 01-193

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

50-1147

Deposit Account Name

LAW OFFICE OF DAVID G. POSZ

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

 Check Money Order Other
FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	Surcharge – late filing fee or oath	
127	50	Surcharge – late provisional filing fee or cover sheet.	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	390	Extension for reply within second month	
117	890	Extension for reply within third month	
118	1,390	Extension for reply within fourth month	
128	1,890	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive – unavoidable	
141	1,240	Petition to revive – unintentional	
142	1,240	Utility issue fee (or reissue)	
143	440	Design issue fee	
144	600	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of information Disclosure Stmt	
581	40	Recording each patent assignment per property (times number of properties)	40
146	710	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40)

SUBMITTED BY*Complete (if applicable)*

Name (Print/Type)	DAVID G. POSZ	Registration No. (Attorney/Agent)	37,701	Telephone	(202) 416-1638
Signature		Date	8-28-01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

LAW OFFICE OF
DAVID G. POSZ
2000 L STREET, N.W., SUITE 200
WASHINGTON, D.C. 20036

SPECIALIZING IN PATENTS, TRADEMARKS & COPYRIGHTS

DAVID G. POSZ
KERRY S. CULPEPPER*
• ADMITTED IN VA ONLY

(202) 416-1638
FAX (202) 416-1639
POSZLAW.COM

August 28, 2001

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

OIPE HAND DELIVERY FILING CERTIFICATE

Applicant: OGUSU et al.

For: DRIVING METHOD FOR LUMINOUS ELEMENTS

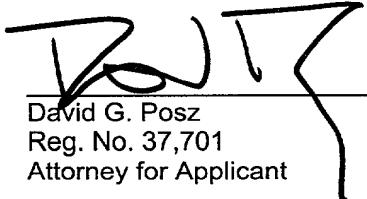
Docket: 01-193

Attorney: David G. Posz

Date of Deposit: August 28, 2001

I hereby certify that this certificate and the following documents are being hand delivered to, and deposited with, the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on the above-indicated date, and are addressed to the Commissioner of Patents and Trademarks/Assistant Commissioner for Patents, Washington, D.C., 20231:

- return receipt postcard;
- transmittal form (2 copies);
- fee calculation form (2 copies);
- 22 page specification including 10 numbered claims;
- 15 sheets of formal drawings;
- executed declaration/power of attorney;
- executed assignment with recordation cover sheet (2 pages total);
- IDS with PTO-1449 form and 3 references;
- 2 certified copy(ies) of priority document(s) (JP 2000-281657, JP 2001-157630); and
- check for \$750.


David G. Posz
Reg. No. 37,701
Attorney for Applicant